U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FORM PTO-1390 (REV. 01-2003) ATTORNEY 'S DOCKET NUMBER 691-C-PCT(CN)-US TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (If known, see 37 CFR 1.5 DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/CN03/00431 June 3, 2002 June 3, 2003 TITLE OF INVENTION HAZARD-FREE MICROENCAPSULATION FOR STRUCTURALLY DELICATE AGENTS, AN APPLICATION OF STABLE AQUEOUS-AQUEOUS EMULSION APPLICANT(S) FOR DO/EO/US JIN, et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 3/1(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. 14. \square An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. A power of attorney and/or change of address letter. 16. 🔽 A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 17. 18. A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. Other items or information: PCT International Search Report (PCT/ISR/210), PCT Notification Concerning Submission or Transmittal of Priority Document(Form PCT/IB/304),

PCT Notification of Receipt of Papers Purporting to be an International Application (Form PCT/RO/125)



U.S. APPLICATION TO A FREE NOT YES KNOWN	517f1°22 [NTERNATIONAL APPLICATION NO. PCT/CN03/00431		ATTORNEY'S DO	CKET NUMBER	
	ving fees are submitted:			CALCULATIONS		
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):						
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO\$1060.00						
International prelir USPTO but Intern	ninary examination fee (ational Search Report pr					
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO						
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$720.00						
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)				\$ 1060.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months				\$ 1000.00		
from the earliest claimed priority date (37 CFR 1.492(e)).				\$		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$		
Total claims	20 - 20 =	0	x \$18.00	\$ 0.00		
Independent claims	2 -3 =	0	x \$84.00	\$ 0.00	<u> </u>	
MULTIPLE DEPEN	DENT CLAIM(S) (if ap		+ \$280.00	\$ 0.00		
TOTAL OF ABOVE CALCULATIONS =				\$ 1060.00		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ 530.00		
SUBTOTAL =				\$ 530.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$		
TOTAL NATIONAL FEE =				\$		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$		
TOTAL FEES ENCLOSED =				\$ 530.00		
				Amount to be refunded:	\$	
				charged:	\$	
a. A check in the amount of \$ 530.00 to cover the above fees is enclosed.						
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.						
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1891 . A duplicate copy of this sheet is enclosed.						
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an or (b)) must be filed	appropriate time limit d and granted to restor	under 37 CFR 1.495 has e the application to pend	not been met, a peti ing status.	tion to revive (37 C	FR 1.137 (a)	
SEND ALL CORRESPONDENCE TO:					<u>C</u> 0	
Law Offices of Albert Wai-Kit Chan, LLC				SIGNATURE		
World Plaza, Suite 604,141-07 20th Avenue				bert Wai-Kit Chan		
Whitestone, New York 11357			NAME			
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Dkt. #691-C-PCT(CN)-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

JIN, et al.

U.S. Serial No.:

Not Yet Known, corresponding to International Application No. PCT/CN03/00431, filed June 3, 2003, which claims priority of U.S. Serial No. 10/291,327, filed November 8, 2002; U.S. Serial No. 60/418,100, filed October 11, 2002; U.S. Serial No. 60/384,971, filed June 3, 2002

Filed

Herewith

For

HAZARD-FREE MICROENCAPSULATION FOR STRUCTURALLY DELICATE AGENTS, AN APPLICATION OF STABLE

AQUEOUS-AQUEOUS EMULSION

Law Offices of Albert Wai-Kit Chan, LLC

World Plaza, Suite 604

141-07 20th Avenue

Whitestone, New York 11357

December 2, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Mail-Stop Patent Application

Sir:

EXPRESS MAIL CERTIFICATE OF MAILING IN CONNECTION WITH THE ABOVE-IDENTIFIED APPLICATION

"Express Mail" mailing label number: EV333073352US

Date of Deposit: December 2, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, Mail Stop Patent Application.

Printed Name: Bibi Elisha Sakur

Respectfully submitted,

Albert Wai-Kit Chan
Registration No. 36,479
Attorney for Applicants
Law Offices of
Albert Wai-Kit Chan, LLC
World Plaza, Suite 604

141-07 20th Avenue Whitestone, New York 11357

Tel: (718) 357-8836 Fax: (718) 357-8615

E-mail: kitchanlaw@aol.com